

*Section 11*

**PATENT**

Case Docket No. LOMAU.138A  
Date: November 21, 2001



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Yong Hua Zhu, et al.

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

App. No. : 09/921,158

November 21, 2001

(Date)

Filed : August 1, 2001

Glen L. Nutall, Reg. No. 46,188

For : METHOD AND  
APPARATUS FOR  
CLOSING VASCULAR  
PUNCTURE USING  
HEMOSTATIC MATERIAL

**COPY OF PAPERS  
ORIGINALLY FILED**

Group Art Unit : Unknown

**TRANSMITTAL LETTER**

**ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231**

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on September 10, 2001, enclosed are:

- (X) An executed Declaration by Inventors.  
(X) An extension of time to respond for 1 month is hereby requested.

Time Extension Fee:

(X)	one month	(\$55 small entity)
( )	two months	(\$200 small entity)
( )	three months	(\$460 small entity)

- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.  
(X) A Notice to File Missing Parts.

PATENT

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(X) Return prepaid postcard.

(X) Fees as calculated below:

FEE FOR EXTENSION OF TIME (LARGE ENTITY)	1 months	\$ 55
SURCHARGE 37 CFR 1.16(e)		\$ + 65
TOTAL OF ABOVE CALCULATIONS		\$ 120
TOTAL FEES SUBMITTED HEREWITH		\$ 120

- (X) A check in the amount of \$120 to cover the above fees is enclosed.  
(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.



Glen L. Nuttal  
Registration No. 46,188  
Attorney of Record



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/921,158	08/02/2001 8/1/01	Yong Hua Zhu	LOMAU.138A

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660



CONFIRMATION NO. 7213

FORMALITIES LETTER

[REDACTED]  
\*OC00000006534793\*

Date Mailed: 09/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE